## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Docket No: Q76453

Thierry ZAMI

Appln. No.: Not Assigned

Confirmation No.: Not Assigned Group Art Unit: Not Assigned

Filed: July 25, 2003 Examiner: Not Assigned

For: A FREQUENCY COMB FOR AN OPTICAL WDM NETWORK

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a

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request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

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CUSTOMER NUMBER

Date: July 25, 2003

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Substitute for Form 1449 A & B/PTO	Application Number	Not Assigned - Atty Dkt Q76453	
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STATEMENT BY APPLICANT	Filing Date	July 25, 2003	
, billibilibili bi imi bicini	First Named Inventor	Thierry ZAMI	
(use as many sheets as necessary)	Art Unit	Not Assigned	
(	Examiner Name	Not Assigned	
Chapt 1 of 1	Attorney Docket Number	076453	

U.S. PATENT DOCUMENTS					
Examiner Cite Initials* No.1		Document N	Document Number		
	Number	Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
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FOREIGN PATENT DOCUMENTS							
Examiner	xaminer Cite Foreign Patent Document		Publication Date	Name of Patentee or			
Initials* No.1	Country Code <sup>3</sup>	Number⁴	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>	
		WO	99/45420		09/10/99	The University of Melbourne	Yes

		NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			
		Xin Miao, "Unequally spaced channels for upgrading WDM system from 3-channel to 10-channel preserving no FWM corsstalk", OPTICAL FIBER TECHNOLOGY, ACADEMIC PRESS, London, US, Vol. 4, No. 2, pp. 347-350, XP002080085	Yes		
		F. Forghieri et al, "Reduction of Four-wave Mixing Crosstalk in WDM Systems Using Unequally Spaced Channels", IEEE PHOTONICS TECHNOLOGY LETTERS, IEEE, INC. NY, US, Vol. 6, No. 1, 1 June 1994, pp. 754, 756, XP000457239			
		Y. Hamazumi et al, "Experiments on 4 x 4 port optical path cross-connection with 8 x 2.5 gbit/s transportation employing unequal channel spacing", OFC-96 TECHNICAL DIGEST, 25 February 1996, pp. 263-264, XP010539982			
		M. Fakumi et al, "Experimental Verification of Cascadability of 12 Channel x 2.5 Gb/s WDM Add/drop Multiplexer Employing Unequally-spaced Arrayed-waveguide Grating", ECOC-96, Vol. 4, September 15, 1996, pp. 103-106, XP010303172			

Examiner Signature	 Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.